Infection Preventionist Series | Webinar 5:
Frequent Citations: What Are We Missing?
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Infection Preventionist Series

• Surveillance – What data should we collect?
• Data – What do we do with the data?
• Outbreaks – Identification and management
• Transmission Precautions – Isolate the organism
• Common Citations – What are we missing?
• Training Opportunities – Where can I learn more?

Disclaimer

***These calls are not meant to be a substitute for “completing specialized training in Infection Prevention and Control” (as required in Phase 3 of Final Rule).

They are meant to introduce the basic concepts of Infection Prevention & Control and discuss common issues found during the survey process.
Reminder….

- Questions can be asked in Chat during call
- We will open call at the end for you to call in with questions
- Time permitting, we will cover any questions received and not answered from previous call
- All questions and answers will be compiled after completion of call series and made available on website
  https://www.qlsqin.org/initiatives/nursing-home-quality/

Objectives

- Discussion of common Infection Prevention & Control Program (IPCP) F 880 citations
- Recognize trends occurring
- Review new survey process
- Discuss tools and strategies for prevention

New Survey Process

- Started November 2017
- Differences:
  - Surveyors go right to floor
  - Staff does not accompany surveyors
  - Computerized
  - Interview all residents possible
New Survey Process – IPCP

- One surveyor coordinates review for:
  - Overall IPCP
  - Annual review of IPCP policies/procedures
  - Review of the surveillance program
  - Review of the antibiotic stewardship program
  - Tracking influenza / pneumococcal immunization of residents

New Survey Process – IPCP

- Team assignments must include:
  - Laundry services
  - A resident on transmission-based precautions
  - Five sampled residents for influenza / pneumococcal immunization
  - Other care-specific observations
  - Every surveyor assesses IPCP compliance throughout the survey

Critical Element Pathway
Infection Prevention, Control & Immunization
CMS-20054
May 2017
- Blueprint for Infection Prevention & Control portion of survey
- Surveyors will review facility practices for each section
- No = citation
**Critical Element Pathway**

- **Hand Hygiene (HH)**
  - Alcohol based hand gel, Soap & Water, prior to donning gloves and after removing
- **Personal Protective Equipment (PPE)**
  - Gloves, gown, facemask / face shield
- **Transmission-Based Precautions**
  - Appropriate precautions are implemented
  - Dedicated or disposable equipment

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**Critical Element Pathway**

- **Laundry Services**
  - Handle, store and transport appropriately
- **Infection Surveillance**
  - Plan based on facility assessment
  - Early detection of infectious resident
  - Evidence based criteria – McGeer
  - Analysis of data
  - Reportable diseases
  - Concerns are identified, reported and acted upon

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**Critical Element Pathway**

- **Antibiotic Stewardship Program**
  - Antibiotic use protocols
  - Protocol to review signs and symptoms and lab reports
  - Periodic review of antibiotic use
  - System for feedback reports to providers
- **Influenza / Pneumococcal Immunization**
  - 5 residents records reviewed
Common IPCP Citations

IPCP citations are among the most frequent citations

"Infection control programs designed to prevent the development and control the spread of infections"
• # 2 most frequent citation in 2016 & 2017
• # 3 thus far in 2018
• Do not typically reach Immediate Jeopardy
• F 880, prior to November 2017 were F 441

Common IPCP Citations

• Hand Hygiene
• Outbreak management
• Transmission based precautions & PPE
• Surveillance
• Cleaning & Disinfection

Hand Hygiene - Top Citations

• Failure to wash hands or change gloves during personal cares or during treatments
• Failure to wash hands during medication administration
• Resident(s) not offered hand washing (before meals, after personal cares)
Outbreak Management – Top Citations

- Line lists inaccurate or incomplete
- During an outbreak, did not restrict staff movement between units
- Did not identify onset of outbreak in a timely manner

Transmission Based Precautions & PPE – Top Citations

- Not wearing personal protective equipment or immediately disposing
- Resident not in transmission-based precautions when should have been

Surveillance – Top Citations

- Did not calculate rates of infection or analyze trends
- Line list did not contain all residents with potential infection
Cleaning & Disinfection – Top Citations

• Did not place barrier between surface and equipment
• Glucometer not disinfected
• Contamination of medications during med pass

Tools and Strategies

• Audit compliance with facility policies/procedures
  • Hand Hygiene
  • Transmission-based precautions & PPE use
  • Cleaning & Disinfection
• Use the Critical Element Pathway to review your program

Tools and Strategies

• Use the Prioritization Worksheet for Performance Improvement Projects (PIP) to prioritize your opportunities for improvement
• Use Quality Assurance Performance Improvement (QAPI) to develop improvement plan
Resources

CMS – 20054 Critical Element Pathway – Infection Prevention, Control and Immunization (May 20, 2017)

QAPI Resources

Prioritization Worksheet & PIP Guide


Next Webinar

Infection Preventionist Series: Webinar 6
Where Can I Find Additional Training for Infection Prevention and Control?
Tuesday, April 17, 1:00 - 1:30 p.m. CT
Register:
https://www.lsqin.org/event/infection-prev-series-6/
Questions?

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Link for evaluation for today's call::
https://www.cvent.com/d/rtqvvr

Once completed, you will receive link to certificate of attendance that you may print for your records.